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Attorney Docket No.: PATENT
SONY-12100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Scott D. Smyers *et al.*

Serial No.: 09/608,617

Filed: June 30, 2000

For: **METHOD OF AND APPARATUS
FOR RECORDING TIME
SENSITIVE DATA WITHIN A
STORAGE DEVICE AND
RESYNCHRONIZING THE DATA
WHEN TRANSMITTING
RECORDED DATA FROM THE
STORAGE DEVICE IN ORDER TO
REGAIN TIME SYNCHRONY
AFTER A LAPSE IN SYNCHRONY
OR ERROR CONDITION**

) Group Art Unit: 2782

) Examiner:

) **TRANSMITTAL LETTER**

) 260 Sheridan Avenue, Suite 420
) Palo Alto, CA 94306
) (650) 833-0160

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SEP 10 2001
Technology Center 2100

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: August 27, 2001

By: Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231

Attorneys for Applicants

- 1 -

HAVERSTOCK & OWENS LLP.

Date: 8/27/01 By: John A. Ransom



#3
C. Barnes
9-17-01

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) Group Art Unit: 2782

) Examiner:

) **SUPPLEMENTAL INFORMATION**
) **DISCLOSURE STATEMENT**

) 260 Sheridan Avenue, Suite 420
) Palo Alto, California 94306
) (650)833-0160

Assistant Commissioner for Patents
Washington, D.C. 20231

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Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicant has become aware of the following printed publication which may be material to the examination of this application:

- U.S. Patent No. 3,836,722;
- U.S. Patent No. 3,906,484;
- U.S. Patent No. 4,218,756;
- U.S. Patent No. 4,298,932;
- U.S. Patent No. 4,409,656;

- 1 -

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HAVERSTOCK & OWENS LLP

Date: 8/27/2001 By: *John D. Rucker*



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Attorney Docket No.: PATENT
SONY-12100

- U.S. Patent No. 4,410,983;
- U.S. Patent No. 4,493,021;
- U.S. Patent No. 4,897,783;
- U.S. Patent No. 4,972,470;
- U.S. Patent No. 4,998,245;
- U.S. Patent No. 5,007,013;
- U.S. Patent No. 5,008,819;
- U.S. Patent No. 5,008,879;
- U.S. Patent No. 5,052,029;
- U.S. Patent No. 5,191,418;
- U.S. Patent No. 5,208,807;
- U.S. Patent No. 5,287,478;
- U.S. Patent No. 5,331,320;
- U.S. Patent No. 5,359,713;
- U.S. Patent No. 5,361,261;
- U.S. Patent No. 5,369,773;
- U.S. Patent No. 5,400,340;
- U.S. Patent No. 5,402,419;
- U.S. Patent No. 5,412,698;
- U.S. Patent No. 5,420,985;
- U.S. Patent No. 5,432,650;
- U.S. Patent No. 5,465,402;
- U.S. Patent No. 5,473,362;
- U.S. Patent No. 5,493,570;
- U.S. Patent No. 5,499,344;
- U.S. Patent No. 5,506,846;
- U.S. Patent No. 5,509,126;
- U.S. Patent No. 5,519,701;
- U.S. Patent No. 5,524,213;
- U.S. Patent No. 5,526,353;
- U.S. Patent No. 5,533,018;
- U.S. Patent No. 5,535,208;
- U.S. Patent No. 5,537,408;

- U.S. Patent No. 5,544,324;
- U.S. Patent No. 5,548,587;
- U.S. Patent No. 5,550,802;
- U.S. Patent No. 5,559,796;
- U.S. Patent No. 5,559,967;
- U.S. Patent No. 5,561,427;
- U.S. Patent No. 5,566,174;
- U.S. Patent No. 5,579,278;
- U.S. Patent No. 5,586,264;
- U.S. Patent No. 5,594,732;
- U.S. Patent No. 5,594,734;
- U.S. Patent No. 5,602,853;
- U.S. Patent No. 5,603,058;
- U.S. Patent No. 5,615,382;
- U.S. Patent No. 5,617,419;
- U.S. Patent No. 5,619,646;
- U.S. Patent No. 5,632,016;
- U.S. Patent No. 5,640,286;
- U.S. Patent No. 5,640,392;
- U.S. Patent No. 5,640,592;
- U.S. Patent No. 5,646,941;
- U.S. Patent No. 5,647,057;
- U.S. Patent No. 5,652,584;
- U.S. Patent No. 5,655,138;
- U.S. Patent No. 5,659,780;
- U.S. Patent No. 5,661,848;
- U.S. Patent No. 5,664,124;
- U.S. Patent No. 5,668,948;
- U.S. Patent No. 5,671,441;
- U.S. Patent No. 5,684,954;
- U.S. Patent No. 5,687,174;
- U.S. Patent No. 5,687,316;
- U.S. Patent No. 5,689,244;

- U.S. Patent No. 5,689,678;
- U.S. Patent No. 5,689,727;
- U.S. Patent No. 5,691,994;
- U.S. Patent No. 5,692,211;
- U.S. Patent No. 5,696,924;
- U.S. Patent No. 5,699,503;
- U.S. Patent No. 5,704,052;
- U.S. Patent No. 5,706,439;
- U.S. Patent No. 5,708,779;
- U.S. Patent No. 5,710,773;
- U.S. Patent No. 5,752,076;
- U.S. Patent No. 5,758,075;
- U.S. Patent No. 5,761,457;
- U.S. Patent No. 5,764,972;
- U.S. Patent No. 5,761,430;
- U.S. Patent No. 5,774,683;
- U.S. Patent No. 5,781,599;
- U.S. Patent No. 5,781,615;
- U.S. Patent No. 5,787,256;
- U.S. Patent No. 5,790,886;
- U.S. Patent No. 5,793,953;
- U.S. Patent No. 5,809,249;
- U.S. Patent No. 5,812,883;
- U.S. Patent No. 5,815,678;
- U.S. Patent No. 5,828,416;
- U.S. Patent No. 5,828,903;
- U.S. Patent No. 5,835,694;
- U.S. Patent No. 5,835,726;
- U.S. Patent No. 5,835,793;
- U.S. Patent No. 5,848,253;
- U.S. Patent No. 5,884,103;
- U.S. Patent No. 5,887,145;
- U.S. Patent No. 5,893,148;

- U.S. Patent No. 5,928,331;
- U.S. Patent No. 5,938,752;
- U.S. Patent No. 5,946,298;
- U.S. Patent No. 5,960,036;
- U.S. Patent No. 5,970,236;
- U.S. Patent No. 5,987,126;
- U.S. Patent No. 5,991,520;
- U.S. Patent No. 6,064,676;
- U.S. Patent No. 6,085,270;
- U.S. Patent No. 6,247,069 B1;
- Taiwan Publication No. 328997;
- PCT Publication No. WO 98 02881;
- PCT Publication No. WO 97 33230;
- PCT Publication No. WO 98 47271;
- European Publication No. EP 0 383 437 A2;
- European Publication No. EP 0 428 111 A2;
- European Publication No. EP 0 499 394 A1;
- European Publication No. EP 0 535 434 A2;
- European Publication No. EP 0 588 046 A1;
- European Publication No. EP 0 696 853 A2;
- European Publication No. EP 0 703 713 A2;
- European Publication No. EP 0 789 502 A2;
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- "The SerialSoft IEEE 1394 Developer Tool" Skipstone;
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- "Fiber Channel (FCS)/ATM interworking: A design solution" A. Anzaloni, M. De
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PATENT
Attorney Docket No.: SONY-12100

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: August 27, 2001

By: Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicants

FORM PTO-1449
(Modified)U.S. Department of Commerce
Patent and Trademark Office

Attorney Docket No.: SONY-12100

Serial No.: 09/608,617

INFORMATION DISCLOSURE STATEMENT BY APPLICANT
(Use Separate Sheets If Necessary)

Applicant: Scott D. Smyers et al.

(37 CFR § 1.98(b))

Filing Date: June 30, 2000

Group Art Unit: 2782

U.S. PATENT DOCUMENTS

Examiner Initials		Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
	AA	3,836,722	07/17/74	Muller et al.	179	15 BS	04/17/73
	AB	3,906,484	09/16/75	Melvin, Jr. et al.	340	347 DD	09/13/72
	AC	4,218,756	08/19/80	Fraser	364	900	06/19/78
	AD	4,298,932	11/03/81	Sams	364	200	06/11/79
	AE	4,409,656	10/11/83	Anderson et al.	364	200	12/21/81
	AF	4,410,983	10/18/83	Cope	371	8	06/26/81
	AG	4,493,021	01/08/85	Agrawal et al.	364	200	04/03/81
	AH	4,897,783	01/30/90	Nay	364	200	06/27/86
	AI	4,972,470	11/20/90	Farago	380	3	08/06/87
	AJ	4,998,245	03/05/91	Tanaka et al.	370	85.100	12/12/88
	AK	5,007,013	04/09/91	Elms	364	900	04/01/86
	AL	5,008,819	04/16/91	Gorbatenko	364	200	07/19/89
	AM	5,008,879	04/16/91	Fischer et al.	370	85.2	11/14/98
	AN	5,052,029	09/24/91	James et al.	375	107	04/05/90
	AO	5,191,418	03/02/93	Tran	358	142	02/20/91
	AP	5,208,807	05/04/93	Gass et al.	370	60.1	01/22/91
	AQ	5,287,478	02/15/94	Johnston et al.	395	425	08/06/91
	AR	5,331,320	07/19/94	Cideciyan et al.	341	56	11/16/92
	AS	5,359,713	10/25/94	Moran et al.	395	200	03/11/93
	AT	5,361,261	11/01/94	Edem et al.	370	85.3	11/02/92
	AU	5,369,773	11/29/94	Hammerstrom	395	800	04/26/91
	AV	5,400,340	03/21/95	Hillman et al.	370	105.3	03/04/93
	AW	5,402,419	03/28/95	Osakabe et al.	370	85.1	12/17/93
	AX	5,412,698	05/02/95	Van Brunt et al.	375	373	03/16/93
	AY	5,420,985	05/30/95	Cantrell et al.	395	325	07/28/92
	AZ	5,432,650	07/11/95	Nunomura et al.	360	27	07/02/92
	BA	5,465,402	11/07/95	Ono et al.	455	161.2	03/23/94
	BB	5,473,362	12/05/95	Fitzgerald et al.	348	7	11/30/93
	BC	5,493,570	02/20/96	Hillman et al.	370	105.3	03/15/95
	BD	5,499,344	03/12/96	Elnashar et al.	395	250	10/07/92
	BE	5,506,846	04/09/96	Edem et al.	370	94.2	04/12/94
	BF	5,509,126	04/16/96	Oprescu et al.	395	307	03/16/93
	BG	5,519,701	05/21/96	Colmant et al.	370	60.1	03/29/95
	BH	5,524,213	06/04/96	Dais et al.	395	200.170	01/25/95
	BI	5,526,353	06/11/96	Henley et al.	370	60.1	12/20/94
	BJ	5,533,018	07/02/96	Delager et al.	370	60.1	12/21/94

Examiner:

Date Considered:

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449
(Modified)U.S. Department of Commerce
Patent and Trademark Office

Attorney Docket No.: SONY-12100

Serial No.: 09/608,617

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(37 CFR § 1.98(b))

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Group Art Unit: 2782

U.S. PATENT DOCUMENTS

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	BK	5,535,208	07/09/96	Kawakami et al.	370	84	03/07/95
	BL	5,537,408	07/16/96	Branstad et al.	370	79	06/05/95
	BM	5,544,324	08/06/96	Edem et al.	395	200.17	11/02/92
	BN	5,548,587	08/20/96	Bailey et al.	370	60.1	09/12/94
	BO	5,550,802	08/27/96	Worsley et al.	370	13	02/07/95
	BP	5,559,796	09/24/96	Edem et al.	370	60	02/28/95
	BQ	5,559,967	09/24/96	Oprescu et al.	395	285	03/18/93
	BR	5,561,427	10/01/96	Coleman, Jr.	341	161	12/30/94
	BS	5,566,174	10/15/96	Sato et al.	370	84	09/07/94
	BT	5,579,278	11/26/96	McLaury	365	230.05	07/18/95
	BU	5,586,264	12/17/96	Belknap et al.	395	200.08	09/08/94
	BV	5,594,732	01/14/97	Bell et al.	370	401	03/03/95
	BW	5,594,734	01/14/97	Worsley et al.	370	395	11/01/93
	BX	5,602,853	02/11/97	Ben-Michael et al.	370	474	11/03/94
	BY	5,603,058	02/11/97	Belknap et al.	395	855	09/08/94
	BZ	5,615,382	03/25/97	Gavin et al.	395	800	06/06/95
	CA	5,617,419	04/01/97	Christensen et al.	370	471	09/20/94
	CB	5,619,646	04/08/97	Hoch et al.	395	200.01	09/27/94
	CC	5,632,016	05/20/97	Hoch et al.	395	200.02	09/27/97
	CD	5,640,286	02/17/97	Acosta et al.	360	48	05/08/95
	CE	5,640,392	06/17/97	Hayashi	370	395	07/07/95
	CF	5,640,592	06/17/97	Rao	370	5	09/30/94
	CG	5,646,941	07/08/97	Nishimura et al.	370	389	05/26/95
	CH	5,647,057	07/08/97	Roden et al.	395	275	09/09/94
	CI	5,652,584	07/29/97	Yoon	341	89	11/29/95
	CJ	5,655,138	08/05/97	Kikinis	395	808	04/11/95
	CK	5,659,780	8/19/97	Wu	395	800.19	06/15/94
	CL	5,661,848	08/26/97	Bonke et al.	395	439	09/08/94
	CM	5,664,124	09/02/97	Katz et al.	395	309	11/30/94
	CN	5,668,948	09/19/97	Belknap et al.	395	200.61	09/08/94
	CO	5,671,441	09/23/97	Glassen et al.	395	828	11/29/94
	CP	5,684,954	11/04/97	Kaiserswerth et al.	395	200.2	03/20/93
	CQ	5,687,174	11/11/97	Edem et al.	370	446	04/26/95
	CR	5,687,316	11/11/97	Graziano et al.	395	200.2	07/29/97
	CS	5,689,244	11/18/97	Iijima et al.	340	825.07	06/16/95
	CT	5,689,678	11/18/97	Stallmo et al.	395	441	03/31/95

Examiner:

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	CU	5,689,727	11/18/97	Bonke et al.	395	840	03/08/95
	CV	5,691,994	11/25/97	Acosta et al.	371	40.1	05/08/95
	CW	5,692,211	11/25/97	Gulick et al.	395	800	09/11/95
	CX	5,696,924	12/09/97	Robertson et al.	395	412	06/07/95
	CY	5,699,503	12/16/97	Bolosky et al.	395	182.04	08/26/96
	CZ	5,704,052	12/30/97	Wu et al.	395	380	04/22/96
	DA	5,706,439	01/06/98	Paker	395	200.17	09/27/97
	DB	5,708,779	01/13/98	Graziano et al.	395	200.8	09/24/96
	DC	5,710,773	01/20/98	Shiga	370	512	07/14/95
	DD	5,752,076	05/12/98	Munson	395	825	08/31/95
	DE	5,758,075	05/26/98	Graziano et al.	395	200.8	09/24/96
	DF	5,761,430	06/02/98	Gross et al.	395	200.55	04/12/96
	DH	5,761,457	06/02/98	Gulick	395	308	10/21/96
	DG	5,764,972	06/09/98	Crouse et al.	395	601	06/07/95
	DI	5,774,683	06/30/98	Gulick	395	309	10/21/96
	DJ	5,781,599	07/14/98	Shiga	375	376	09/06/95
	DK	5,781,615	07/14/98	Bales et al.	379	899	08/30/95
	DL	5,787,256	07/28/98	Marik et al.	395	200.68	08/06/96
	DM	5,790,886	08/04/98	Allen	395	825	12/05/95
	DN	5,793,953	08/11/98	Yeung et al.	395	200.8	07/07/95
	DO	5,809,249	09/15/98	Julyan	395	200.53	03/01/96
	DP	5,812,883	09/22/98	Rao	395	8.94	11/22/95
	DQ	5,815,678	09/29/98	Hoffman et al.	395	309	07/14/95
	DR	5,828,416	10/27/98	Ryan	348	512	03/29/96
	DS	5,828,903	10/27/98	Sethuram et al.	395	817	11/19/96
	DT	5,835,694	11/10/98	Hodges	385	182.04	12/06/96
	DU	5,835,726	11/10/98	Shwed et al.	709	229	06/17/96
	DV	5,835,793	11/10/98	Li et al.	395	898	05/02/97
	DW	5,848,253	12/08/98	Walsh et al.	395	309	01/22/97
	DX	5,884,103	03/16/99	Terho et al.	710	22	04/18/96
	DY	5,887,145	03/23/99	Harari et al.	395	282	01/09/97
	DZ	5,893,148	04/06/99	Genduso et al.	711	132	11/10/97
	EA	5,928,331	07/27/99	Bushmitch	709	231	10/30/97
	EB	5,938,752	08/17/99	Leung et al.	710	126	05/20/97
	EC	5,946,298	08/31/99	Okuyama	370	232	04/05/96
	ED	5,960,036	09/28/99	Johnson et al.	375	219	11/04/96

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Attorney Docket No.: SONY-12100

Serial No.: 09/608,617

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use Separate Sheets If Necessary)

Applicant: Scott D. Smyers et al.

(37 CFR § 1.98(b))

Filing Date: June 30, 2000

Group Art Unit: 2782

U.S. PATENT DOCUMENTS

Examiner Initials		Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
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		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
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EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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(Modified)

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